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Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

23

Application Number

09/361,644

Filing Date

07/27/1999

First Named Inventor

Russell A. Knaack et al.

Group Art Unit

3746

Examiner Name

To be assigned

Attorney Docket Number

90098002

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Request for Correction of Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Felix L. Fischer

Signature

Date

9/27/99

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 27, 1999

Typed or printed name

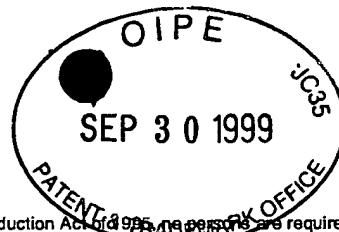
Kathryn Costantino

Signature

Date

9/27/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT **(\$)** 170.00

Complete if Known

Application Number	09/361,644
Filing Date	07/27/1999
First Named Inventor	Russell A. Knaack et al.
Examiner Name	To be assigned
Group / Art Unit	3746
Attorney Docket No.	90098002

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **01-1125**

Deposit Account Name **AlliedSignal Inc.**

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)					

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	- 3** =	X	=
Multiple Dependent			=

**or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)					

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (3) (\$)				170.00	

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **170.00**

SUBMITTED BY

Name (Print/Type)	Felix L. Fischer	Registration No. (Attorney/Agent)	31,614	Telephone	(310) 791-9120
Signature				Date	9/27/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OIFC
SEP 30 1999

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/361,644 07/27/99 KNAACK

R 90098002

0232/0813

FELIX L FISCHER
LAW DEPARTMENT
ALLIEDSIGNAL INC TURBOCHARGING SYSTEMS
23326 HAWTHORNE BOULEVARD SUITE 200
TORRANCE CA 90505

3746 X

DATE MAILED:

08/13/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

small entity (statement filed) non-small entity is \$ 130.00

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

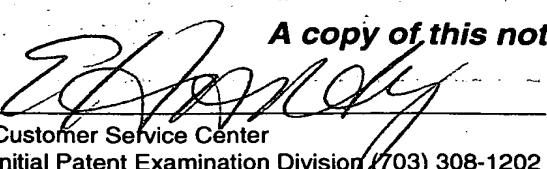
8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

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130.00

00FC:105

1001/1999 CCETIN

00FC:105

U.S. GPO: 1998-446-824

IN THE UNITED STATES ~~PATENT~~ AND TRADEMARK OFFICE

In re Application of: Russell A. Knaack et al.

Serial No. 09/361,644

Filed: 07/27/1999

Docket No.: 90098002

Title: INTEGRALLY MOUNTED PNEUMATIC SOLENOID VALVE FOR WASTEGATE CONTROL

Group Art Unit: 3746

Examiner: To Be Assigned

SEP 30 1999

PATENT & TRADEMARK OFFICE

Torrance, California 90505
September 27, 1999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN.: Box Missing Parts

Sir:

A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION and the executed declaration are attached hereto.

Please charge the \$130.00 surcharge under 37 C.F.R. §1.16(e) for filing the executed Declaration later than the filing date of the above-identified patent application to Deposit Account No. 01-1125.

This letter is attached in triplicate.

Respectfully submitted,

By:

Felix L. Fischer

Registration No.: 31,614
(310) 791-9119

AlliedSignal Inc.
Turbocharging Systems
23326 Hawthorne Boulevard
Suite 200
Torrance, California 90505

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on September 27, 1999

(Date of Deposit)

By: Kathryn A. Costantino 9/27/99
(Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Bucket No: 90098002
Russell A. Knaack, et al.)
For:)
INTEGRALLY MOUNTED PNEUMATIC SOLENOID VALVE) Group Art Unit : 3746
FOR WASTEGATE CONTROL)
Serial No.: 09/361,644) Examiner: To be assigned
Filed: 7/27/1999)

REQUEST FOR CORRECTION OF FILING RECEIPT

Hon. Commissioner for Patents
and Trademarks
Washington, D.C. 20231

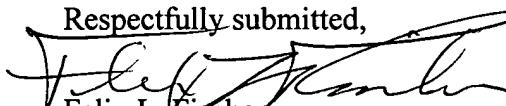
Commissioner:

This letter is a request for correction of the Filing Receipt dated July 27, 1999, a copy of which is attached hereto, which fails to correctly identify applicants Russell A. Knaack, Billy D. Watkins, Dennis Thoren and Peter Haug of the referenced application.

Please identify the applicants as Russell A. Knaack, Billy D. Watkins, Dennis Thoren and Peter Haug.

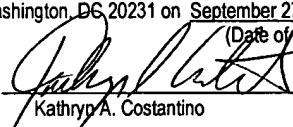
Please correct the original filing receipt to reflect the applicants for application 09/361,644.

Respectfully submitted,


Felix L. Fischer
Reg. No. 31,614
Attorney for Applicants

Date: 9/27/99
AlliedSignal Inc.
Turbocharging Systems
23326 Hawthorne Blvd. Suite 200
Torrance, CA 90505
Telephone No.: (310) 791-9120
Fax: (310) 791-9125

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8
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(Date of Deposit)

By: 
Kathryn A. Costantino

9/27/99
Date

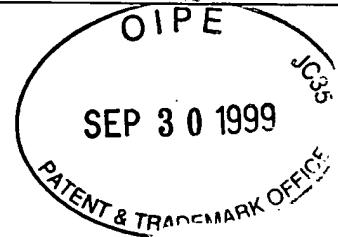
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/361,644	07/27/99	3746	\$760.00	90098002	11	6	2

FELIX L FISCHER
LAW DEPARTMENT
ALLIEDSIGNAL INC TURBOCHARGING SYSTEMS
23326 HAWTHORNE BOULEVARD SUITE 200
TORRANCE CA 90505



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the date presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) RUSSELL A. KNAACK.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/13/99
TITLE
INTEGRALLY MOUNTED PNEUMATIC SOLENOID VALVE FOR WASTEGATE CONTROL
PRELIMINARY CLASS: 123

RECEIVED
AUG 19 1999
ASTS LAW DEPT

DATA ENTRY BY: HARDY, EUGENIA TEAM: 03 DATE: 08/13/99



(See reverse for new important information)